## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail AUG 0 8 2005

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

(703) 746-4000 or <u>Fax</u>

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as additional unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

Yungmo Kang

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

23456

APPLICATION NO.

09/966,514

7590

05/10/2005

WADDEY & PATTERSON 414 UNION STREET, SUITE 2020 BANK OF AMERICA PLAZA NASHVILLE, TN 37219

08/09/2005 MBELETE2 00000064 09966514

01 FC:1501 02 FC:1504 1400.00 DP

300.00 DP

FILING DATE

09/27/2001

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

(Depositor's name) Claire Ulanoff (Signature 8 (Datc) FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO.

4737

153501-03902447US

TITLE OF INVENTION: ANNULAR RECUPERATOR DESIGN

Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  The Address of middle and the part of th	APPLN. TYPE	SMALL ENTITY	ISSUE FEE		PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
CASAREGOLA, LOUIS J 3746 060-039511  1. Change of correspondence address or indication of "Fee Address" (37 CPR 1.563).  1. Change of correspondence address (or Change of Correspondence Address from PTO/SB/12) attached.  1. Change of correspondence address (or Change of Correspondence Address from PTO/SB/12) attached.  1. "Fee Address" indication (or." Fee Address' indication form PTO/SB/47, Rev 03-02 or more recent) attached. Use of a Customer Number is required.  3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)  PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.  (A) NAME OF ASSIGNEE  Capstone Turbine Corporation  Please check the appropriate assignee category or categories (will not be printed on the patent):  2. For printing on the patent attorneys or agen size of up to a gent soft, alternatively.  2. Liucian Wayne Beavers or agens. If no name is a signee of a customer is a substitute for filing an assignment is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.  (A) NAME OF ASSIGNEE  Capstone Turbine Corporation  Please check the appropriate assignee category or categories (will not be printed on the patent):  2. Individual Corporation or other private group entity Government of the fee(s) are enclosed:  3. Asplicant claims SMALL ENTITY status. See 37 CFR 1.27.  3. A check in the amount of the fee(s) is enclosed.  3. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.  3. A check in the amount of the fee(s) is enclosed.  4. Payment by credit card. Form PTO-2038 is attached.  4. Payment by credit card. Form PTO-2038 is attached.  4. Payment by credit card. Form PTO-2038 is attached.  4. Payment by credit card. Form PTO-2038 is attached.  4. Paym	nonprovisional	МО	\$1400		\$300	\$1700	08/10/2005
1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.563).  2. Corp printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, and the names of up to 3 registered patent attorneys or agents OR, alternatively, and the names of up to 3 registered patent attorneys or agents OR, alternatively, and the names of up to 3 registered patent attorneys or agents OR, alternatively, and the names of up to 2 pagents OR, alternatively, and the names of up to 3 registered attorney or agent) and the names of up to 2 registered patent attorneys or agent) and the names of up to 2 registered patent attorneys or agent) and the names of up to 3 registered patent attorneys or agents OR, alternatively, and the names of up to 3 registered patent attorneys or agents OR, alternatively, and agents OR, alternatively, and agents of up to 3 registered patent attorneys or agents OR, alternatively, and agents of up to 3 registered patent attorneys or agents OR, alternatively, and agents OR, alternatively, and agents OR, alternatively, and agents OR, alternatively, and agents of up to 3 registered patent attorneys or agents OR, alternatively, and agents OR, alternatively, and agents of up to 3 registered patent attorneys or agents OR, alternatively, and agents OR or agents	EXAMINER		ART UNIT		CLASS-SUBCLASS	]	•
CR 1.363).  Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  Tee Address indication (or "Fee Address" Indication form PTO/SB/122) attached. Use of a Customer Number is required.  3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)  PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.  (A) NAME OF ASSIGNEE  Capstone Turbine Corporation  Chatsworth, CA  Please check the appropriate assignee category or categories (will not be printed on the patent):  Individual Corporation or other private group entity Government  4a. The following fee(s) are enclosed:  Ab Payment of Fee(s):  Itsue Fee  Payment of Fee(s):  A check in the amount of the fee(s) is enclosed.  Payment of Fee(s):  A check in the amount of the fee(s) is enclosed.  Payment by credit card. From PTO-2038 is attached.  The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the applicanting the content of the payment	CASAREGOLA, LOUIS J		3746		060-039511	•	
(A) NAME OF ASSIGNEE  (B) RESIDENCE: (CITY and STATE OR COUNTRY)  Capstone Turbine Corporation  Chatsworth, CA  Please check the appropriate assignee category or categories (will not be printed on the patent): Individual Corporation or other private group entity Government 4a. The following fee(s) are enclosed:    Ab Payment of Fee(s):   A check in the amount of the fee(s) is enclosed.   Publication Fee (No small entity discount permitted)   Payment by credit card. Form PTO-2038 is attached.   Payment by	CFR 1.363).  Change of correspon Address form PTO/SB/1  "Fee Address" indica PTO/SB/47; Rev 03-02 Number is required.  ASSIGNEE NAME AND	adence address (or Change of 122) attached. ation (or "Fee Address" Indica or more recent) attached. Us D RESIDENCE DATA TO E	Correspondence ation form e of a Customer BE PRINTED ON TH	(1) the na or agents (2) the na registered 2 registered listed, no	omes of up to 3 registered pater OR, alternatively, me of a single firm (having as a attorney or agent) and the named patent attorneys or agents. If name will be printed.  If (print or type)	a member a 2 Lucian es of up to no name is 3	n Wayne Beavers
4b. Payment of Fec(s):    Some Section   Secti	(A) NAME OF ASSIGN	NEE Turbine Corpor	(B)	RESIDENC	CE: (CITY and STATE OR COU	UNTRY)	
Issue Fee					<u> </u>		
Publication Fee (No small entity discount permitted)  Advance Order - # of Copies	<b>0</b> ( )		Ę	A check	in the amount of the fee(s) is en	closed.	
Advance Order - # of Copies							
a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).  The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party interest as shown by the records of the United States Patent and Trademark Office.  Authorized Signature  Date  Authorized Signature  Lucian Wayne Beavers  Registration No. 28,183	_			The Dire	ector is hereby authorized by count Number	harge the required fee(s), or (enclose an extra c	credit any overpayment, to
Typed or printed name Lucian Wayne Beavers Registration No. 28,183	_ ` .	•	•	b. Applic	cant is no longer claiming SMA	LL ENTITY status. See 37 C	FR 1.27(g)(2).
Typed or printed name Lucian Wayne Beavers Registration No. 28,183	The Director of the USPTO NOTE: The Issue Fee and I interest as shown by the rec	is requested to apply the Issi Publication Fee (if required) verds of the United States Pate	ue Fee and Publication will not be accepted the ent and Trademark C	on Fee (if ar from anyone office.	ny) or to re-apply any previousle other than the applicant; a regi	y paid issue fee to the application of the property of the storney or agent; or the storney or agent; or the storney of the storney or agent; or the storney or agent; or the storney of the storney or agent; or the storney	ation identified above. he assignee or other party in
Types of printed mane	Authorized Signature	tuse	enes		_ Date	ug, 4, 20	05 2
					A Property of the second		

an application. Confidentiality is governed by 37 U.S.C. 122 and 37 CFR 1.311. Ine information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.